



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Chikashi INOKUCHI et al.

: Confirmation No. 7019

Serial No. 09/987,384

: Attn: BOX MISSING PARTS

Filed November 14, 2001

: Attorney Docket No. 2001\_1701A

OPTICAL DISC APPARATUS AND METHOD  
FOR READING INFORMATION

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$240.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ..... \$130.00

Petition for Extension of Time ..... \$110.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Chikashi INOKUCHI et al.

By Michael S. Huppert

Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
February 5, 2002

[Check No. 48718]  
2001\_1701A



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OPTICAL DISC APPARATUS AND METHOD  
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THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated November 28, 2001, submitted herewith is the required Declaration and Power of Attorney.

Also enclosed are the PTO surcharge of \$130.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

Respectfully submitted,

Chikashi INOKUCHI et al.

By:

  
Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
February 5, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 7019

Chikashi INOKUCHI et al. : Docket No.2001\_1701A

Serial No. 09/987,384 : Group Art Unit 2651

Filed November 14, 2001 : Attn: BOX MISSING PARTS

OPTICAL DISC APPARATUS AND METHOD  
FOR READING INFORMATION

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0976

**NOTIFICATION OF CORRECTION OF INVENTOR'S NAME**

Assistant Commissioner for Patents,  
Washington, D.C.

Sir:

Please note that the names of the third and fifth inventors, Kohju KONNO and Mitsuhiko OHTA on the attached Declaration and Power of Attorney are correct.

The unexecuted Declaration filed on November 14, 2001, incorrectly listed the third inventor's first given name as Koju and the fifth inventor's family name as OTA. However, the correct spelling of the third inventor's first given name is Kohiyu and the correct spelling of the fifth inventor's family name is OHTA.

Therefore, it is respectfully submitted that all PTO records be updated to reflect the correct inventor's names.

Respectfully submitted,

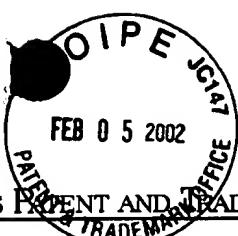
Chikashi INOKUCHI et al.

By:

*Michael S. Huppert*

Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
February 5, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/987,384	11/14/2001	Chikashi Inokuchi	2001_1701A

000513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

CONFIRMATION NO. 7019

FORMALITIES LETTER



\*OC00000007127781\*

Date Mailed: 11/28/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE